

Practitioner's Docket No. MI40-399

PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Ji Ung Lee et al.

Application No.: 10/072,415

Group No.: 2825

Filed: 02/05/2002

Examiner: V. Yevsikov

For: Field Effect Transistor Fabrication Methods, Field Emission Device Fabrication Methods, and Field Emission Device Operational Methods

**ATTENTION: Refund Section,  
Accounting Division, Office of Finance  
Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450**

**REQUEST FOR REFUND  
(37 C.F.R. 1.28(a))**

**I. REFUND REQUEST**

This request for refund is made for overpayment of filing fees in connection with the adding of new claims in this application. The Office charged deposit account no. 23-0925 for new claims added in the amount of \$2,154.00 on December 2, 2003. We are requesting a refund in the amount of \$2,154.00.

CCP

**CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. section 1.8(a))**

I hereby certify that, on the date shown below, this correspondence is being:

**MAILING**

- ☐ deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Date:

5/27/05

S:\MI30\068\RR1.wpd

**FACSIMILE**

- ☒ transmitted by facsimile to the Patent and Trademark Office.

Signature

Natalie King  
(type or print name of person certifying)

(Request for Refund - page 1 of 2)

## II. FEES PAID FOR WHICH AMOUNT OF REFUND REQUESTED

On February 5, 2002 we filed this application with 38 total claims pending including 8 independent claims (see attached page 10 of preliminary amendment. In particular, by preliminary amendment, claims 1-73 were canceled and claims 74-111 were added. We paid fees for these claims per check no. 133904 in the amount of \$1,988.00 (copy of check and fee transmittal attached).

On December 15, 2004 the Office mailed a Non-Final Office Action including an Office Action Summary. In said Summary, in the "Disposition of Claims" section it is stated that the pending claims are 74-111 (copy of Office Action Summary attached).

On April 15, 2005, we filed a Response to the December 15, 2004 Office Action. In this amendment we added 4 new dependent claims, bringing the total pending claims to 42. We paid fees for these claims per check no. 146229 in the amount of \$320.00 (copy of check and fee transmittal attached).

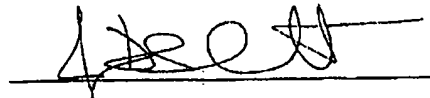
The Office charged deposit account no. 23-0925 for pending claims in the amount of \$2,154.00 on December 2, 2003. At that time all fees had been paid for as evidenced by the supporting documentation provided with this Request for Refund.

## III. FEES FOR WHICH AMOUNT OF REFUND REQUESTED

Total Refund Requested \$2,154.00

Please make refund by crediting Account No. 23-0925.

Date: 5/26/05

  
James D. Shaurette  
Registration No. 39,833  
Wells St John P.S.  
601 W. First Ave., #1300  
Spokane, WA 99201  
509-624-4276  
Customer No. 021567

S:\MI30\068\IRR1.wpd

(Request for Refund--page 2 of 2)



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/072,415	02/05/2002	Ji Ung Lee	MI30-068	5182
21567	7590	12/15/2004	EXAMINER	
WELLS ST. JOHN P.S. 601 W. FIRST AVENUE, SUITE 1300 SPOKANE, WA 99201			YEVSNIKOV, VICTOR V	
			ART UNIT	PAPER NUMBER
			2825	

DATE MAILED: 12/15/2004

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	Application No.		Applicant(s)	
	10/072,415		LEE ET AL.	
	Examiner		Art Unit	
	Victor V Yevsikov		2825	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If the period for reply specified above is less than thirty (30) days, a reply within the statutory minimum of thirty (30) days will be considered timely.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

1) ☒ Responsive to communication(s) filed on 05 February 2002.

2a) ☐ This action is FINAL. 2b) ☒ This action is non-final.

3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

4) ☒ Claim(s) 74-111 is/are pending in the application.

4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.

5) ☒ Claim(s) 79-82, 93-95 and 102-111 is/are allowed.

6) ☒ Claim(s) 74-76, 78, 83-85, 88-92 and 97-101 is/are rejected.

7) ☒ Claim(s) 77, 86, 87 and 96 is/are objected to.

8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

9) ☐ The specification is objected to by the Examiner.

10) ☒ The drawing(s) filed on 05 February 2002 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).

11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).  
a) ☐ All b) ☐ Some \* c) ☐ None of:

1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

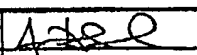
1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)	4) <input type="checkbox"/> Interview Summary (PTO-413) Paper No(s)/Mail Date. _____
2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-940)	5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)
3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08) Paper No(s)/Mail Date <u>02/05/02</u>	6) <input type="checkbox"/> Other: _____

Approved for PTO/SB/17 (12-04v2)  
through 07/31/2008, OMB 0651-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).		Complete if Known	
<b>FEE TRANSMITTAL</b> For FY 2005		Application Number	10/072,415
		Filing Date	Feb. 5, 2002
		First Named Inventor	Ji Lee et al.
		Examiner Name	V. yevsikov
		Art Unit	2891
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27		Attorney Docket No.	MI30-068
TOTAL AMOUNT OF PAYMENT (\$)		320.00	

METHOD OF PAYMENT (check all that apply)	
<input checked="" type="checkbox"/> Check <input type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> None <input type="checkbox"/> Other (please identify): _____	
<input checked="" type="checkbox"/> Deposit Account Deposit Account Number: <u>23-0925</u> Deposit Account Name: <u>Wells St. John, P.S.</u>	
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)	
<input type="checkbox"/> Charge fee(s) indicated below <input type="checkbox"/> Charge fee(s) indicated below, except for the filing fee	
<input checked="" type="checkbox"/> Charge any additional fee(s) or underpayments of fee(s) under 37 CFR 1.16 and 1.17 <input checked="" type="checkbox"/> Credit any overpayments	
WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.	

FEE CALCULATION								
1. BASIC FILING, SEARCH, AND EXAMINATION FEES								
	FILING FEES		SEARCH FEES		EXAMINATION FEES			
Application Type	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fees Paid (\$)	
Utility	300	150	500	250	200	100		
Design	200	100	100	50	130	65		
Plant	200	100	300	150	160	80		
Reissue	300	150	500	250	600	300		
Provisional	200	100						
2. EXCESS CLAIM FEES								
Fee Description								
Each claim over 20 (including Reissues)						Fee (\$)	Small Entity Fee (\$)	
Each independent claim over 3 (including Reissues)						50	25	
Multiple dependent claims						200	100	
						360	180	
Total Claims		Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims			
42		20 or HP = 4	50.00	\$200.00	Fee (\$)			Fee Paid (\$)
HP = highest number of total claims paid for, if greater than 20.								
Indep. Claims		Extra Claims	Fee (\$)	Fee Paid (\$)				
- 3 or HP =								
HP = highest number of independent claims paid for, if greater than 3.								
3. APPLICATION SIZE FEE								
If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).								
Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof		Fee (\$)	Fee Paid (\$)			
42	100	/ 50 =		(round up to a whole number) x				
4. OTHER FEE(S)								
Non-English Specification, \$130 fee (no small entity discount)						Fees Paid (\$)		
Other (e.g., late filing surcharge): Request for Extension of Time (1 mo.)						\$120.00		

SUBMITTED BY		
Signature		Registration No. 39,833
Name (Print/Type)	James D. Shaurette	Telephone 509.624.4276
		Date 4/15/05

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... Filed herewith  
Filing Date .... Filed herewith  
Inventor .... Ji Ung Lee et al.  
Assignee .... Micron Technology, Inc.  
Group Art Unit .... Unknown  
Examiner .... Unknown  
Attorney's Docket No. .... MI30-068  
Title: "Field Effect Transistor Fabrication Methods, Field Emission Device Fabrication  
Methods, and Field Emission Device Operational Methods"

PRELIMINARY AMENDMENT

To: Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**EL 844504097**

From: James D. Shaurette (Tel. 509-624-4276; Fax 509-838-3424)  
Wells, St. John, Roberts, Gregory & Matkin P.S.  
601 W. First Avenue, Suite 1300  
Spokane, WA 99201-3828

Sir:

Please enter the following amendments prior to examining the above-identified  
application:

AMENDMENTSIn the Title:

Please replace the title with the following: -FIELD EFFECT TRANSISTOR  
FABRICATION METHODS, FIELD EMISSION DEVICE FABRICATION METHODS,  
AND FIELD EMISSION DEVICE OPERATIONAL METHODS-.

S:\MI30\068\W01.wpd A2142251402N

1


REMARKS

This application is a continuation application of U.S. Patent Application Serial No. 09/260,231, filed on March 1, 1999. Claims 1-73 have been canceled without prejudice. Claims 74-111 are pending in the application, and Applicants request examination of such pending claims.

The Examiner is requested to phone the undersigned if the Examiner believes such would facilitate prosecution of the present application. The undersigned is available for telephone consultation at any time during normal business hours (Pacific Time Zone).

Respectfully submitted,

Dated: 2/5/02

By:   
James D. Shaurette  
Reg. No. 39,833

PTO/SB/17 (10-01)  
Approved for use through 10/31/2002. OMB 0851-0032  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Parent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$ 1,988.00

## Complete If Known

Application Number	filed herewith
Filing Date	filed herewith
First Named Inventor	Ji Ung Lee et al.
Examiner Name	Unknown
Group Art Unit	Unknown
Attorney Docket No.	MI 30-068

## METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number: 23-0925  
Deposit Account Name: Wells, St. John et al.

☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	740.0
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

SUBTOTAL (1) (\$ 740.00

### 2. EXTRA CLAIM FEES

Total Claims: 38  
Independent Claims: 8  
Multiple Dependent: 30

Extra Claims: 18  
Fee from below: 18.0  
Fee Paid: 324.0

Extra Claims: 3  
Fee from below: 84.0  
Fee Paid: 924.0

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$ 1,248.0

\*\*or number previously paid, if greater; For Reissues, see above

## FEE CALCULATION (continued)

Large Entity	Small Entity	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for ex parte reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Patitions to the Commissioner	
123	50	123	50	Processing fee under 37 CFR 1.17(q)	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$

## SUBMITTED BY

Name (Print/Type): James D. Shaurette  
Signature: [Signature]

Registration No. (Attorney/Agent)

39,833

## Complete if applicable

Telephone

509-624-4276

Date

2/5/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



Deposit Account Statement



United States  
Patent and  
Trademark Office



### Deposit Account Statement

Requested Statement Month: December 2003  
 Deposit Account Number: 230925  
 Name: WELLS ST JOHN PS  
 Attention: RHONDA RAMBO  
 Address: 601 WEST FIRST AVENUE SUITE 1300  
 City: SPOKANE  
 State: WA  
 Zip: 99201-3817

DATE	SEQ	POSTING REF TXT	ATTORNEY DOCKET NBR	FEE CODE	AMT	BAL
12/01	80	10720231	4058-H0004345(4016)	1001	\$770.00	\$9,729.00
12/01	81	10720231	108-H0004345(4016)	1202	\$342.00	\$9,387.00
12/02	2	10072415	MI22-1299	1202	\$1,314.00	\$8,073.00
12/02	3	10072415	MI22-1299	1201	\$840.00	\$7,233.00
12/02	15	PAYMENT		9203	-\$6,000.00	\$13,233.00
12/02	310	76064085	CY2-194	7003	\$200.00	\$13,033.00
12/03	157	10720231	4058-H0004345(4016)	8021	\$40.00	\$12,993.00
12/04	1270	78336311	VI22-101	7001	\$335.00	\$12,658.00
12/04	1293	78336325	VI22-102	7001	\$335.00	\$12,323.00
12/05	17	78336367	PO4-1234	7001	\$335.00	\$11,988.00
12/05	17	09912476	4057-221	9204	-\$750.00	\$12,738.00
12/08	12	09428125	MI22-1299	1806	-\$180.00	\$12,918.00
12/08	16	10339695	MI22-2224	1202	-\$630.00	\$13,548.00
12/09	1131	78338274	WA40-102	7001	\$335.00	\$13,213.00
12/10	202	PCT/US03/38587	GR61-012	1707	\$230.00	\$12,983.00
12/10	204	PCT/US03/38587	GR61-012	8007	\$20.00	\$12,963.00
12/11	9	1255923	TE2-139	7205	\$100.00	\$12,863.00
12/11	10	1255923	TE2-139	7201	\$400.00	\$12,463.00
12/11	11	1255923	TE2-139	7206	\$100.00	\$12,363.00
12/11	12	1255923	TE2-139	7203	\$100.00	\$12,263.00
12/11	105	76418320	CY2-197	7004	\$450.00	\$11,813.00
12/15	17	09810387	M122-1559	1806	-\$180.00	\$11,993.00
12/16	1	PCT/US03/32730	WA40-029	1703	\$24.00	\$11,969.00
12/16	4	09524804	MI40-285	1201	-\$774.00	\$12,743.00
12/18	36	SUBSCRIPTION		8001	\$3.00	\$12,740.00
12/18	220	10167808	UL4-004	2801	\$385.00	\$12,355.00
12/19	1	10243156	MI22-2113	1202	\$450.00	\$11,905.00
12/19	2	10243156	MI22-2113	1251	\$110.00	\$11,795.00
12/22	4	10344772	4057-H0001109(401	1202	\$54.00	\$11,741.00
12/22	5	10344772	358-H0001109(401	1201	\$168.00	\$11,573.00
12/22	27	10226894	MI22-2071	1806	-\$180.00	\$11,753.00

04/21/2006 16:11 FAX 5098383424

MAY-05-2005 THU 10:06 AM

WELLS ST JOHN PS

FAX NO.

014/015

P. UZ

Page 1

<b>Wells, St. John, Roberts, Gregory &amp; Matkin, es.</b>		<b>Washington Trust Bank</b>		<b>133904</b>
601 W. FIRST AVENUE, SUITE 1300 SPOKANE, WA U.S.A. 99201-3828 FAX (509) 838-3424 PHONE (509) 824-4278		20-07-251		
<b>EL 844054097</b>		DATE	CHECK	AMOUNT
		02/05/02	133904	**\$1,988.00
*** ONE THOUSAND NINE HUNDRED EIGHTY-EIGHT & 00/100 DOLLARS				
PAY	TO THE ORDER OF			
Commissioner of Patents & Trademarks	270046837 170 3508 3832 09 27			
WELLS, ST. JOHN, ROBERTS, GREGORY & MATKIN, P.S. GENERAL ACCOUNT				
133904 133904 133904 133904 133904 133904 133904 133904 133904 133904				

Tracer: 12037592 - Amt: \$1,988.00 - 02/21/2002

INCLEARINGS		FEB 19 2002		PATENT AND TRADEMARKS OFFICE	
0953 812037592	28820221 R=86 B=849			12-10-2001	
17010000	1STMIX			02-15-2002	
270046837	0520-0027-8			FOR CREDIT TO THE	
270046837	02-20-02			U.S. TREASURY	
FEB 21 2002		5 17 4 0			
52072570		01708271			

Tracer: 12037592 - Amt: \$1,988.00 - 02/21/2002

MAY-05-2005 THU 10:06 AM

WELLS ST JOHN PS  
FAX NO.

015/015  
P. U3

Page 1

Wells St. John P.S. 601 W. FIRST AVENUE, SUITE 1303 SPOKANE, WA U.S.A. 99201-3828 FAX (509) 838-2424 PHONE (509) 824-4278		Washington Trust Bank 1-800-368-4378 28-21251	146229
DATE	CHECK	AMOUNT	
04/15/05	146229	*****\$320.00	
EV549572104			
*** THREE HUNDRED TWENTY & 00/100 DOLLARS			
PAY TO THE ORDER OF	Commissioner of Patents & Trademarks		
WELLS ST. JOHN P.S. GENERAL ACCOUNT		146229	
AUTHORIZED SIGNATURE		IP	
146229 1251000892 1007661374 0000032000			

**Tracer: 11002154 - Amt: \$320.00 - 04/22/2005**

PRINT AND TRANSMIT OFFICE  
11-19-004  
01-18-2045  
FOR CREDIT TO THE  
U.S. DEPARTMENT

1005 7640

FBI

TO: SEATTLE 1250-0001-1  
DATE: 11-19-004  
TIME: 1743 TRC=1740 PK=

2155783604

00310000114  
WASHINGTON, DC 20535  
PHILA, PA 19104

7413580305

**Tracer: 11002154 - Amt: \$320.00 - 04/22/2005**

**Wells St. John**  
PROFESSIONAL SERVICE

PATENTS • TRADEMARKS • COPYRIGHTS

601 W. 1st Avenue, Suite 1300  
Spokane, WA 99201-3828  
(509) 624-4276  
FAX (509) 838-3424  
www.wellsstjohn.com**FACSIMILE COVER PAGE**

TO: Refund Section FAX NO: 5712736500

FROM: Rhonda Rambo

NO. OF PAGES: 15 DATE: 21 April 2006  
(INCLUDING COVER PAGE)

OUR FILE: ME30-068 YOUR FILE: \_\_\_\_\_

SUBJECT/MESSAGE: 2nd Request for a Refund -  
See attached pages

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04/21/2006 16:05 FAX 5098383424	WELLS ST JOHN PS	002/015
<b>IN THE UNITED STATES PATENT AND TRADEMARK OFFICE</b>		
Application Serial No.	10/072,415	
Filing Date	February 5, 2002	
Inventor	Ji Ung Lee et al.	
Assignee	Micron Technology, Inc.	
Group Art Unit	2825	
Examiner	V. Yevskov	
Attorney's Docket No.	M130-068	
Title:	Field Effect Transistor Fabrication Methods, Field Emission Device Fabrication Methods, and Field Emission Device Operational Methods	
Refund Section, Accounting Division, Office of Finance Assistant Commissioner for Patents P.O. Box 1480 Alexandria VA 22313-1480		
<b>CERTIFICATE OF FACSIMILE TRANSMISSION UNDER 37 CFR 1.8</b>		
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... 10/072,415  
Filing Date ..... February 5, 2002  
Inventor ..... Ji Ung Lee et al.  
Assignee ..... Micron Technology, Inc.  
Group Art Unit ..... 2825  
Examiner ..... V. Yevsikov  
Attorney's Docket No. .... MI30-068  
Title: Field Effect Transistor Fabrication Methods, Field Emission Device Fabrication  
Methods, and Field Emission Device Operational Methods

Refund Section,  
Accounting Division, Office of Finance  
Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria VA 22313-1450

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Natalie King  
Telephone No. (509) 624-4276  
Facsimile No. (509) 838-3424

# 2nd Request filed 4/21/06

NUMBER OF PAGES IN FACSIMILE: 13

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PTO/SB/21 (03-03)

Approved for use through 04/30/2003. OMB 0851-0031

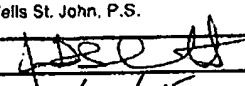
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<b>TRANSMITTAL FORM</b> (to be used for all correspondence after initial filing)	Application Number	10/072,415
	Filing Date	Feb. 5, 2002
	First Named Inventor	Ji Ung Lee et al.
	Art Unit	2825
	Examiner Name	V. Yevsikov
Total Number of Pages in This Submission	Attorney Docket Number	M130-068

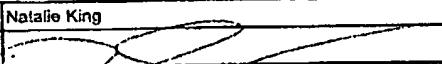
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<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	Additional Enclosures: Copy of Check Nos. 133904 & 146229; Copy of Office Action Summary mailed 12/15/2004; Copy of page 10 of Preliminary Amendment filed 2/5/2002.	

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual	James D. Shaurlette, Reg. No. 39,833 Wells St. John, P.S.
Signature	 <b>COPY</b>
Date	5/26/05

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I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: 5-27-05

Typed or printed	Natalie King	Date	5/27/05
Signature			

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No. .... Filed herewith  
Filing Date .... Filed herewith  
Inventor .... Ji Ung Lee et al.  
Assignee .... Micron Technology, Inc.  
Group Art Unit .... Unknown  
Examiner .... Unknown  
Attorney's Docket No. .... MI30-068  
Title: "Field Effect Transistor Fabrication Methods, Field Emission Device Fabrication  
Methods, and Field Emission Device Operational Methods"

PRELIMINARY AMENDMENT

To: Box Patent Application  
Assistant Commissioner for Patents  
Washington, D.C. 20231

**EL 84 450 4097**

From: James D. Shaurette (Tel. 509-624-4276; Fax 509-838-3424)  
Wells, St. John, Roberts, Gregory & Matkin P.S.  
601 W. First Avenue, Suite 1300  
Spokane, WA 99201-3828

Sir:

Please enter the following amendments prior to examining the above-identified  
application:

AMENDMENTS

In the Title:

Please replace the title with the following: -FIELD EFFECT TRANSISTOR  
FABRICATION METHODS, FIELD EMISSION DEVICE FABRICATION METHODS,  
AND FIELD EMISSION DEVICE OPERATIONAL METHODS-.

Adjustment Date: 05/05/2006 SDIRETA1  
2702/2003 TBELL1 00000002 230925 10072415  
1 FC:1202 1314.00 CR  
2 FC:1201 840.00 CR